

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

5-#5  
5-1-02

Applicant(s): Krames, Michael R.; Takeuchi, Tetsuya; Yamada, Norihide; Amano, Hiroshi; Akasaki, Isamu  
Assignee: LumiLeds Lighting, U.S., LLC  
Title: Nitride Semiconductor Device With Reduced Polarization Fields  
Serial No.: Unknown Filing Date: Herewith  
Examiner: Unknown Group Art Unit: Unknown  
Docket No.: M-11040-3P US

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San Jose, California  
November 13, 2001

COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(b)**

Dear Sir:

Pursuant to 37 C.F.R. § 1.56, § 1.97 and § 1.98, Applicants wish to call to the attention of the Examiner the documents cited in the enclosed form PTO 1449 and the following documents:

1. U.S. Serial No. 09/717,647, filed November 21, 2000;
2. U.S. Patent No. 6,229,151, issued May 8, 2001.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
2. a representation that a search has been made, other than as described above or;
3. an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

EXPRESS MAIL LABEL NO:

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Respectfully submitted,

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